Attorney Docket No. 9405-4

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Phillip Mark Hunter et al. Serial No.: To Be Assigned

Filed: Concurrently Herewith For:

MODULAR BATTERY MANAGEMENT APPARATUS WITH CELL SENSING AND

**ENERGY REDISTRIBUTION CAPABILITIES** 

Date: March 23, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

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JC14 Rec'd PCT/PTO 23 MAR 2005

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Substitute form 1449A/PTO				Comp. of Known		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)			RE	Filing Date	Concurrently Harwith	
			IT	First Named Inventor	Phillip Mark Hunter	
			•	Group Art Unit		
				Examiner Name		
Sheet	A1	of	A1	Attorney Docket Number	9405-4	

Examiner Initials*	Cite No.	U.S. Patent	Document	Name of Patentee or Applicant of Cited	Date of Publication of Cited	
	Initials*		Number	Kind Code (if known)	Document	Document MM-DD-YYYY
	1	US-6,424,119	B1	Nelson et al.	07-23-2002	
	2	US-6,274,950	B1	Gottlieb et al.	08-14-2001	
	3	US-6,150,795		Kutkut et al.	11-21-2000	
J	4	US-5,656,915		Eaves	08-12-1997	
	5	US-5,498,950		Ouwerkerk	03-12-1996	
	6	US-5,214,385		Gabriel et al.	05-25-1993	
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Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office	Number	Kind Code (if known)	Document	Cited Document MM-DD-YYYY	
	7	PCT	WO 99/01918		ESTCO Energy Inc.	01-14-1999	
	8	PCT	WO 01/82260		American Power Conversion	11-01-2001	

OTHER NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*  Cite No.  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the initials serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T		
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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.